# **Notification of Major Holdings**



Bundesanstalt für Finanzdienstleistungsaufsicht

| <ul> <li>X Notification of Major Holdings</li> <li>or</li> <li>Correction of a notification of Major Holdings dated</li> </ul>                         |                      |                                |  |  |  |
|--|----------------------|--------------------------------|--|--|--|
| 1. Details of issuer (name, addres   | s)                   |                                |  |  |  |
| Name   | SGL Carbon SE        |                                |  |  |  |
| Legal Entity Identifier (LEI)  | 52990038IB31TYK07X63 |                                |  |  |  |
| Street, Street number  | Söhnleinstr. 8       |                                |  |  |  |
| Postal code  | 65201                |                                |  |  |  |
| City   | Wiesbaden            |                                |  |  |  |
|  |                      |                                |  |  |  |
| 2. Reason for notification (multip   | le reasons possible) |                                |  |  |  |
| X Acquisition/disposal of instruments  Change of breakdown of voting rights  Other reason  3. Details of person subject to the notification obligation |                      |                                |  |  |  |
| Natural person  First name   | Surname              | Date of birth                  |  |  |  |
| Legal entity  Name including legal form (entity) City of registered office Country   |                      |                                |  |  |  |
| The Goldman Sachs Group, Inc   | . Wilmington, DE     | United States of America (the) |  |  |  |
| 4. Name(s) of shareholder(s) holding directly 3% or more voting rights, if different from 3.  Name   |                      |                                |  |  |  |
| 5. Date on which threshold was crossed or reached  |                      |                                |  |  |  |
| 14/02/2023   |                      |                                |  |  |  |



### 6. Total positions

|   | % of voting rights<br>attached to shares<br>(total of 7.a.) | % of voting rights through instruments (total of 7.b.1. + 7.b.2.) | Total of both in %<br>(7.a. + 7.b.) | Total number of<br>voting rights<br>pursuant to Sec. 41<br>WpHG |
|---|---|---|-------------------------------------|---|
| New   | 0,28 %  | 5,25 %  | 5,53 %                              | 122.341.478   |
| Previous<br>notification<br>(if applicable) | 0,33 %  | 4,80 %  | 5,14 %                              | -   |

#### 7. Details on total positions

#### a. Voting rights attached to shares (Sec. 33, 34 WpHG)

|              | Absolute                 |                            | In %                     |                            |  |
|--------------|--------------------------|----------------------------|--------------------------|----------------------------|--|
| ISIN         | Direct<br>(Sec. 33 WpHG) | Indirect<br>(Sec. 34 WpHG) | Direct<br>(Sec. 33 WpHG) | Indirect<br>(Sec. 34 WpHG) |  |
| DE0007235301 | 0                        | 347.858                    | 0,00 %                   | 0,28 %                     |  |

| <b>Total</b> 347.858 0,28 % |  |
|-----------------------------|--|
|-----------------------------|--|

#### b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

| Type of instrument | Expiration or maturity date | Excercise or conversion period | Voting rights<br>absolute | Voting rights<br>in % |
|--------------------|-----------------------------|--------------------------------|---------------------------|-----------------------|
| Right To Recall    | Open                        |                                | 3.686.041                 | 3,01 %                |
| Right Of Use       | Open                        |                                | 942.189                   | 0,77 %                |
| Convertible Bond   | 21.09.2027                  |                                | 372.158                   | 0,30 %                |

|       | Voting rights absolute | Voting rights in % |  |
|-------|------------------------|--------------------|--|
| Total | 5.000.388              | 4,09 %             |  |



## b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

| Type of instrument | Expiration<br>or maturity<br>date | Excercise<br>or<br>conversion<br>period | Cash<br>or physical<br>settlement | Voting rights<br>absolute | Voting rights<br>in % |
|--------------------|-----------------------------------|---|-----------------------------------|---------------------------|-----------------------|
| Swap               | 01.11.2032                        |   | Cash                              | 1.419.171                 | 1,16 %                |

|       | Voting rights absolute | Voting rights in % |  |
|-------|------------------------|--------------------|--|
| Total | 1.419.171              | 1,16 %             |  |



#### **8. Information in relation to the person subject of the notification obligation** (please tick the applicable box)

| undertaking(s) holding directly         | or indirectly an interest in th                        | e (underlying) issuer (1.).   |  |
|---|--|---|--|
| Full chain of controlled underta        | kings starting with the ultima                         | ate controlling natural perso   | on or legal entity:                            |
| Name                                    | % of voting rights<br>(if at least held 3% or<br>more) | % of voting rights<br>through instruments<br>(if at least held 5% or<br>more) | Total of both<br>(if at least held 5%<br>more) |
| The Goldman Sachs Group,<br>Inc.        |  |   |  |
| GSAM Holdings LLC                       |  |   |  |
| Goldman Sachs Asset<br>Management, L.P. |  |   |  |
| -                                       |  |   |  |
| The Goldman Sachs Group,<br>Inc.        |  |   |  |
| Goldman Sachs Bank USA                  |  |   |  |
| Goldman Sachs Bank Europe<br>SE         |  |   |  |
| -                                       |  |   |  |
| The Goldman Sachs Group,<br>Inc.        |  |   |  |
| Goldman Sachs (UK) L.L.C.               |  |   |  |
| Goldman Sachs Group UK<br>Limited       |  |   |  |
| Goldman Sachs International<br>Bank     |  |   |  |
| -                                       |  |   |  |
| The Goldman Sachs Group,<br>Inc.        |  |   |  |
| Goldman Sachs & Co. LLC                 |  |   |  |
| -                                       |  |   |  |
| The Goldman Sachs Group,<br>Inc.        |  |   |  |
| Goldman Sachs (UK) L.L.C.               |  |   |  |
| Goldman Sachs Group UK<br>Limited       |  |   |  |

Goldman Sachs International



## 9. In case of proxy voting according to Sec. $34\ (3)\ WpHG$

(only in case of attribution of voting rights pursuant to Sec. 34 (1) sent. 1 no. 6 WpHG)

| Date of general meeting                    |  |               |  |  |  |  |
|--|--|---------------|--|--|--|--|
| Total positions (6.) after general meeting |  |               |  |  |  |  |
| % of voting rights attached to shares      | % of voting rights through instruments | Total of both |  |  |  |  |
|  |  |               |  |  |  |  |
| 10. Other useful information               |  |               |  |  |  |  |
| Date, Signature                            |  |               |  |  |  |  |
| 16/02/2023 Andrzej Szy                     | rszka                                  |               |  |  |  |  |