Notification of Major Holdings

BaFin Bundesanstalt für Finanzdienstleistungsaufsicht

X **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holdings dated

1. Details of issuer (name, address)

Name	SGL Carbon SE
Legal Entity Identifier (LEI)	52990038IB31TYK07X63
Street, Street number	Söhnleinstr. 8
Postal code	65201
City	Wiesbaden

2. Reason for notification (multiple reasons possible)

☐ Acquisition/disposal of shares with voting rights
X Acquisition/disposal of instruments
☐ Change of breakdown of voting rights
X Other reason

Voluntary group notification with triggered threshold on subsidiary level

3. Details of person subject to the notification obligation

Natural person

First name	Surname	Date of birth

Legal entity

Name including legal form (entity)	City of registered office	Country
The Goldman Sachs Group, Inc.	Wilmington, DE	United States of America (the)

4. Name(s) of shareholder(s) holding directly 3% or more voting rights, if different from 3.

Name

5. Date on which threshold was crossed or reached

18/10/2023

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1. + 7.b.2.)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	0,25 %	6,90 %	7,15 %	122.341.478
Previous notification (if applicable)	0,24 %	7,19 %	7,43 %	-

7. Details on total positions

a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
DE0007235301	0	310.214	0,00 %	0,25 %

Total	310.214	0,25 %

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	Expiration or maturity date	Excercise or conversion period	Voting rights absolute	Voting rights in %
Right To Recall	Open		5.957.056	4,87 %
Right Of Use	Open		166.090	0,14 %
Convertible Bond	28.06.2028		624.651	0,51 %

	Voting rights absolute	Voting rights in %
Total	6.747.797	5,52 %

b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Excercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Swap	17.08.2026		Cash	1.695.272	1,39 %

	Voting rights absolute	Voting rights in %
Total	1.695.272	1,39 %

8. Information in relation to the person subject of the notification obligation (please tick the applicable box)

☐ Person subject to the notification obligation (3.) is not controlled <u>nor</u> does it control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

X Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
The Goldman Sachs Group, Inc.			
GSAM Holdings LLC			
Goldman Sachs Asset Management, L.P.			
-			
The Goldman Sachs Group, Inc.			
Goldman Sachs Bank USA			
Goldman Sachs Bank Europe SE			
-			
The Goldman Sachs Group, Inc.			
Goldman Sachs (UK) L.L.C.			
Goldman Sachs Group UK Limited			
Goldman Sachs International Bank			
-			
The Goldman Sachs Group, Inc.			
Goldman Sachs & Co. LLC			
-			
The Goldman Sachs Group, Inc.			
Goldman Sachs (UK) L.L.C.			
Goldman Sachs Group UK Limited			
Goldman Sachs International			

9. In case of proxy voting according to Sec. 34 (3) WpHG

(only in case of attribution of voting rights pursuant to Sec. 34 (1) sent. 1 no. 6 WpHG)

Date of general meeting [   ]

Total positions (6.) after general meeting

% of voting rights attached to shares	% of voting rights through instruments	Total of both

10. Other useful information

Date,	Signature
20/10/2023	Sunaina Kapoor